

Express Mail No. EV452775268US

FORM PTO-1390
(REV. 7-2004)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER
11617-003

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/534991

INTERNATIONAL APPLICATION NO.
PCT/KR2003/002437

INTERNATIONAL FILING DATE
November 12, 2003

PRIORITY DATE CLAIMED
November 13, 2002

TITLE OF INVENTION

POLYMERIC MICROPARTICULATES FOR SUSTAINED RELEASE OF DRUG AND THEIR PREPARATION METHODS

APPLICANT(S) FOR DO/EO/US

Hyeok LEE, Ham Yong PARK, Jeong Hwa YANG, Jung Ju KIM

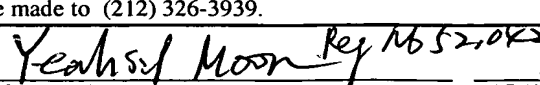
Applicant herewith submits to the United States Designated/ Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The U.S. has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (EXECUTED)
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 20. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute amendment.
16. ☒ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter 2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Copy of PCT publication WO 2004/043441 A1; copy of International Search Report; copy of PCT Request; copy of Information Concerning Elected Offices Notified of Their Election; copy of Notice Regarding Designated Offices; copy of Notice Regarding Submission of Priority Document; and copy of International Preliminary Search Report.

JC20 Rec'd PCT/PTO 12 MAY 2005

US APPLICATION NO. (If known, see 37 CFR 1.5) To Be Assigned 10/534991		INTERNATIONAL APPLICATION NO. PCT/KR2003/002437		ATTORNEY'S DOCKET NUMBER 11617-003	
21. The following fees are submitted: BASIC NATIONAL FEE: <input checked="" type="checkbox"/> a) Basic national fee \$300.00 <input checked="" type="checkbox"/> b) Examination fee Was the International Preliminary Examination Report prepared by the USPTO and do all filed claims in this U.S. application satisfy provisions of PCT Article 33(1)-(4) (i.e., novelty, inventive step and industrial capability)? <input type="checkbox"/> i) Yes (\$100.00) <input checked="" type="checkbox"/> ii) No (\$200.00) \$200.00 <input checked="" type="checkbox"/> c) Search fee Was the USPTO the International Searching Authority? <input type="checkbox"/> i) Yes (\$100.00) ii) If no: <input checked="" type="checkbox"/> (a) An International Search Report will be provided to the USPTO on or before payment of the search fee. (\$400.00) <input type="checkbox"/> (b) No International Search Report will be provided to the USPTO. (\$500.00) \$400.00 TOTAL OF CALCULATIONS =				\$	\$900.00
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing of computer programs listing filed in electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets 34 -100	Extra Sheets 0 /50	Number of each additional 50 or fraction thereof (round up to a whole number).	RATE x 250.00		\$0.00
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				\$	\$0.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE X		
Total Claims	42 - 20	22	x \$50.00		\$1100.00
Independent Claims	1 - 3	0	x \$200.00	=	\$0.00
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 360.00		\$360.00
TOTAL OF ABOVE CALCULATIONS=				=	\$ 2360.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 50%				- \$	\$0.00
SUBTOTAL =				\$	\$2360.00
Surcharge of \$130.00 for furnishing the English Translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$0.00
TOTAL NATIONAL FEE				=	\$ 2360.00
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 1 x \$40.00 per property				\$	\$0.00
TOTAL FEES ENCLOSED				=	\$ 2360.00
				Amount to be refunded:	
				charged:	\$2360.00
a. <input type="checkbox"/> A check in the amount of _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> This is an estimate of the fees due. Please charge Deposit Account No. 50-3013 to cover the required fees. A copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3013. A copy of this sheet is enclosed. Note: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status. 22. <input type="checkbox"/> Other instructions 23. <input checked="" type="checkbox"/> All correspondence for this application should be mailed to JONES DAY, 222 East 41 st Street, New York, New York 10017 24. <input checked="" type="checkbox"/> All telephone inquiries should be made to (212) 326-3939.					
Yeahsil Moon (52,042) for Laura Coruzzi		 SIGNATURE		30,472 REG. NO.	May 12, 2005 DATE